



#5  
JB.  
6-13-01  
2776  
2176

PATENT

RECEIVED

JUN 1 2001

Technology Center 2100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Warren M. Farnworth et al.

Serial No.: 09/589,841

Filed: June 8, 2000

For: STEREO LITHOGRAPHIC METHODS  
FOR FORMING A PROTECTIVE LAYER  
ON A SEMICONDUCTOR DEVICE  
SUBSTRATE AND SUBSTRATES  
INCLUDING PROTECTIVE LAYERS SO  
FORMED

Examiner: Unknown

Group Art Unit: 2776

Attorney Docket No.: 3923US (99-0033)

CERTIFICATE OF MAILING

I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail (under 37 C.F.R. § 1.8(a)) on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

May 24, 2001  
Date of Deposit

Signature of registered practitioner or other person having reasonable basis to expect mailing to occur on date of deposit shown pursuant to 37 C.F.R. § 1.8(a)(1)(ii)

Deidra J. Pfeil

Typed/printed name of person whose signature is contained above

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents are enclosed pursuant to 37 C.F.R. § 1.98(a). The listed documents were cited by the Office in co-pending application Serial No. 09/259,143, filed on February 26, 1999, and directed to a related invention.

RECEIVED  
JUN 7 2001  
TECHNOLOGY CENTER 2100

In accordance with 37 C.F.R. § 1.97(g) and (h), filing of this Information Disclosure Statement is not to be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b). Further, no representation is made by Applicants herein that no other possible material information as defined in 37 C.F.R. § 1.56 (b) exists.

DOCUMENTS

U.S. Patent Documents

<u>U.S. Patent No.</u>	<u>Issue Date</u>	<u>Inventor</u>
5,278,442	01/1994	Prinz et al.
5,545,367	08/1996	Bae et al.

Applicants offer to supply any explanation or discussion of the documents which the Examiner feels is necessary or desirable and which is requested.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits, and therefore no fee is due.

Respectfully submitted,



Brick G. Power  
Registration No. 38,581  
Attorney for Applicants  
TRASK BRITT  
P. O. Box 2550  
Salt Lake City, Utah 84110-2550  
Telephone: (801) 532-1922

Date: May 24, 2001

BGP/ps:djp

Enclosures: Form PTO-1449

Copy of documents cited

N:\2269\3923\IDS.WPD

RECEIVED  
MAY 7 2001  
TECHNICAL CENTER 2800